## DRIGINAL



## BEFORE THE ARIZONA CORPORATION COMMISSION

2

3

4

5

8

7

9

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

COMMISSIONERS

MIKE GLEASON - Chairman WILLIAM A. MUNDELL JEFF HATCH-MILLER

KRISTIN K. MAYES GARY PIERCE 7008 DEC -8 P 3: 59

AZ CORP COMMISSION DOCKET CONTROL

Arizona Corporation Commission

DOCKETED

DEC - 8 2008

DOCKETED BY

DOCKET NO. S-20631A-08-0503

DOCKETED BY WITH

IN THE MATTER OF:

ROBERT FRANKLIN HOCKENSMITH, JR., CRD #1798614

Respondent.

<u>FOURTH</u> PROCEDURAL ORDER

## BY THE COMMISSION:

On September 30, 2008, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Robert Franklin Hockensmith, Jr. ("Respondent"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of investment contracts and/or commodity investment contracts.

The Respondent was duly served with a copy of the notice.

On October 10, 2008, a request for hearing was filed by the Respondent.

On October 14, 2008, a pre-hearing conference was scheduled on November 5, 2008.

On October 22, 2008, counsel for the Respondent and the Division stipulated to reschedule the pre-hearing conference to November 6, 2008. By Procedural Order, the pre-hearing conference was rescheduled.

On November 6, 2008, the Division and Respondent appeared through counsel. Issues concerning the case were discussed along with future scheduling matters. Counsel agreed to conduct discovery and to the scheduling of a hearing.

On November 7, 2008, by Procedural Order, a hearing was scheduled to commence on May 19, 2009. The parties were further ordered to set aside May 20, 21, 22 and June 1, 2009, for

部

additional days of hearing, if necessary, and to exchange copies of their Witness Lists and copies of 1 their Exhibits by April 17, 2009, with courtesy copies provided to the presiding Administrative Law 2 3 Judge. On December 3, 2008, the Division filed a stipulated request to reschedule the last date of the 4 hearing and suggested a number of alternate dates. 5 Accordingly, the last date of hearing shall be vacated and a new date of hearing scheduled. 6 IT IS THEREFORE ORDERED that the last date of hearing, June 1, 2009, shall be 7 rescheduled to July 1, 2009. 8 IT IS FURTHER ORDERED that all other dates in the proceeding shall remain unchanged. 9 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113 - Unauthorized 10 Communications) applies to this proceeding and shall remain in effect until the Commission's 11 Decision in this matter is final and non-appealable. 12 IT IS FURTHER ORDERED that the presiding Administrative Law Judge may rescind, alter, 13 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by 14 15 ruling at hearing. day of December, 2008. 16 17 18 19 ADMINISTRATIVE LAW JUDGE 20 Copies of the foregoing mailed/delivered 21 this 8th day of December, 2008 to: 22 ARIZONA REPORTING SERVICE, INC. Paul J. Roshka, Jr. 2200 North Central Avenue, Suite 502 Jeffrey D. Gardner 23 Phoenix, AZ 85004-1481 ROSHKA DeWULF & PATTEN, PLC One Arizona Center 24 400 East Van Buren Street, Suite 800 Phoenix, AZ 85004-2262 25 Attorneys for Robert Franklin Hockensmith, Jr. 26 Matt Neubert, Director Securities Division 27 ARIZONA CORPORATION COMMISSION 1300 West Washington Street

Secretary to Marc E. Stern

28

Phoenix, AZ 85007